

Semi-Urban Areas in Landscape Research: A Review

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Abstract

Urbanization is a well-known topic in sustainable development debates as it is known to have great impacts on landscape and environment. Low density, apparently random, scattered or fragmented and leap frogging forms of urban land use, not classified as core urban fabric (town, city, ...) nor classified as real 'countryside' are studied in this paper. With a thorough literature study of more than 200 publications, a number of interesting conclusions about this important environmental and socio-economical phenomenon can be made. At first, it is generally described as either a type of land use or land use dynamic functioning as 'divide' between city and countryside (the urban fringe theory), or it is very often described as the dynamic and fast transformation of rural land into urban land (the sprawl approach). In some cases it forms its own 'landscape' and it is called the peri-urban or more correctly semi-urban area. Generally, there seems to be a lack of good definitions and frameworks, although it is studied often and in various scientific disciplines. Prominently, there is an always present dichotomy between rural and urban in all concepts, theories and definitions proposed.

Keywords: urban fringe, sprawl, semi-urban areas

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1 Introduction

Since about the year 2000, more than half of the world's population lives in urban or highly urbanized areas (Swanson, 2007). The World Bank estimates that by 2030 the built-up area of industrialized countries will have expanded to some 500,000 square kilometers (Angel *et al.*, 2005). So it can be emphasized that an important part of research concerning sustainable development should focus on these areas. From a traditional perspective, "urban areas" are generally interpreted as cities or towns with their specific urban form (Lynch, 1954), but numerous studies during the last decades suggest that urban areas have grown far beyond the edges of core city areas and agglomerations, this growth manifesting itself as urban sprawl, sometimes resulting in hybrid peri- or semi-urban landscapes. Suburbs and exurbs are rapidly expanding (Pickett and Cadenasso, 2008), with negative impacts on the environment (Baker *et al.*, 2002; Doughty and Hammond, 2004; Hasse and Lathrop, 2003; Nuissl *et al.*, 2009; Pauchard *et al.*, 2006; Perry and Nawaz, 2008; Shaw *et al.*, 1998; Syphard *et al.*, 2007).

When systematically browsing scientific literature on subjects related to incompletely urbanized areas, three main research topics can be identified. The topic covered by the majority of research papers is about the environmental and ecological impacts of land transformations from rural to urban, or about the vicinity of urban development to natural resources. There is also much literature to be found on the economic (e.g. land value (Dwyer and Childs, 2004; Mori, 1998)) and social (e.g. segregation in the suburbs (Galster *et al.*, 2001)) impacts, making the entire research topic around sprawl, the urban fringe (and its dynamics) and semi-urban areas a sustainable development issue in its full right. The effects of the urbanization processes or the effects of the presence of urban and semi-urban areas on the environment have been studied in numerous disciplines: urban planning (Cadieux, 2008; Frenkel, 2004b; Hümmeler, 1998), geography (Antrop, 2004; Bourne, 1996; Hagoort *et al.*, 2002), sociology (Bialasiewicz, 2006; Bryant, 1995; Hite, 1998), ecology (Cornelis and Hermy, 2004; Gaston *et al.*, 2005a; Shaw *et al.*, 1998) and hydrology (Hasse and Lathrop, 2003; Perry and Nawaz, 2008). As a result of these studies, a rich but inconsistent vocabulary emerges to describe the region that is characterized by the expansion of urban fabric, the latter being the driving force of pressures to the environment. Overall, these papers focus on complexity, fragmentation, and heterogeneous land use (Carrión-Flores and Irwin, 2004; Gallent *et al.*, 2004; Galster *et al.*, 2001; Tacoli, 1998a,b). A second group of papers covers the analytical background of the landscape properties: research on indicators, scale issues, and method to measure sprawl, urban landscapes, and fringe dynamics. A third and smaller group of papers covers the actual descriptions, definitions, frameworks, concepts and policies around sprawl, urban fringes and semi-urban areas.

More often than not, the land use policy storylines remain within the classical dichotomy of urban versus rural areas: a result of separated traditions in science and in policies. Rural policies in the European Union for example, are still strongly linked to 'core' agricultural areas or to rural areas little transformed by urban and industrial development. Other sectors and activities in rural areas such as nature conservation are still relatively remote from urban conditions, both geographically and conceptually. Compared to rural discourses and policies, urban policies are based on completely different traditions and theories, with guiding disciplines such as architecture, civil engineering, urban planning, and transport science (Pickett and Cadenasso, 2008).

Because of disciplinary clefts between these different traditions and approaches, a standard definition of these semi-urban areas does not exist. Going more deeply into focal concepts of semi-urban areas, many authors also claim that there is a lack of a clear definition of closely linked phenomena: what exactly is sprawl, what is the urban fringe and what more generally are semi-urban landscapes (Adell, 1999; Antrop and Van Eetvelde, 2000; Fulton *et al.*, 2001; Galster *et al.*, 2001; Masuda and Garvin, 2008; Schuyler, 1986; Tacoli, 1998a; Theobald, 2001; Wolman *et al.*, 2005; Yang and Hillier, 2007). With growing popularity of holistic, integrated (landscape)

research (Antrop and Van Eetvelde, 2000; Musacchio and Wu, 2004), and with growing pressure on developing sustainability policies for complex environments, the need for a general framework for semi-urban areas, including definitions, measurement and interpretation tools, becomes inevitable.

The first specific objective of this paper is to make a synthesis of major descriptors of the semi-urban condition. A second specific objective is to give a brief but comprehensive overview of the research topics related to semi-urban areas, in which the concepts from the first objective could be applied, or why they should be developed. In the first part of this paper, a short explanation about the methods, used to study the literature dealing in some way with semi-urban areas, is given, followed by a brief overview of some of the research programs, study topics and study regions often cited. The second part will then attempt to distill the main theories and concepts found in the literature and link them to actual research on semi-urban areas, ecological impacts, and sustainable land use planning.

Although it is difficult to create a clear-cut structure in a review with a such broad perspective, framework and somewhat theoretical and philosophical approach, the paper is organized in the following structure:

- The preceding text is the introduction: it gives the main background, the ‘reason for reviewing’ and the specific angles and viewpoints which form the baseline of the study.
- The next Section 2, ‘Reviewing semi-urban areas’, can be read as a “materials and methods” chapter. It explains the methods used conducting the review study. Since the “materials” in such a study mainly consist of (all kinds of) other publications, all of which can be found in the reference list, this chapter also gives a brief overview of a possible classification and summary (yet without interpretation) of the “where and what” of the studies, conducted in the literature that was consulted as sources for this study. In a later phase of the review, this information can be useful to give a – be it brief – practical state of the art of research on semi-urban areas and its parallel research topics.
- Sections 3 and 4, coping with definitions, theories, and research issues concerning semi-urban areas, should be read as the “results and discussion” part of the study. In these chapters, all information distilled during the reviewing process is identified, interpreted, linked to other information and explained. Because of the specific character (review) of the study, these sections, evidently, are much more than a sober summary of ‘results’, as is done when publishing field or lab experiments, for example. Both sections will already be more conclusive and interpretative and the reader may note that the ‘line’ between these sections and the last chapter, the conclusions, is not as “pure” as in other scientific papers.
- The last Section 5 contains the general conclusions, as a summary drawn from the discussion and results in the preceding chapters.

2 Reviewing semi-urban areas: methods and summary

2.1 Methods used when reviewing

Searching literature started with a basic keyword based (web)search. On regular occasions, online literature resources were consulted for journal entries concerning the topics of the study. Among the online databases were the ISI Web of Science, Elsevier's ScienceDirect portal, Google Scholar, academic library websites, and publisher specific databases (e.g. Blackwell Synergy, Kluwer Academic).

The most frequently used keywords were "semi urban", "peri urban", "sprawl" and "fringe", in a list of more than 25 keywords. This keyword list was iteratively generated from the literature read: many publications containing the keyword "sprawl", also contained the keywords "sealed surface" and thus these latter were taken up in the list. From a certain point in reading, the rate to which new keywords entered the list dropped, from this moment on the assumption that the list could be seen as complete was made.

Many essential publications generated a new list of important references: this cited reference based search thus resulted in a list of almost 200 relevant publications. On several occasions, other publications of the same author, different than those listed in references of already selected relevant publications, were also consulted as they frequently cover the same topics.

During the literature study, specific attention was given to topics covering (1) former research or the research base of the study, (2) definitions, concepts, theories and nomenclatures and (3) study methods, especially sampling techniques. The discussion in this paper is primarily based on the findings from (2) and partly from those in (1).

2.2 Research programs

Interesting research programs on urban sprawl, semi-urban areas and the rural-urban fringe are conducted in landscape research across the world, though the biggest, interdisciplinary and international research projects on sprawl and semi-urban areas can be found in Europe, as research programs have been sponsored by the European Union. Certainly worth mentioning in this context is the MOLAND (Monitoring Land Use/Cover Dynamics) research program led by the Institute for Environment and Sustainability – Land Management and Natural Hazards Unit of the Directorate General Joint Research Centre, in cooperation with many other EU-based institutions, such as the European Environmental Agency (European Commission – DG JRC and Space Applications Institute) in Copenhagen (EEA, 2006) and Eurostat in Luxemburg, or the ESPON (European Spatial Planning Observation Network) 1.1.2. project, finished in 2005, investigating urban-rural relationships in Europe (ESPON, 2006). There are many other research programs induced by European Union research frameworks and followed up by academic institutions that are not part of the EU administration. Some examples of already finished projects include the SCATTER (Sprawling Cities And Transport – from Evaluation the Recommendations) research project (Gayda *et al.*, 2005) in the EU 5th Research Framework, finished in 2004, and the RURBAN (Rural Areas under Urban Pressure) project at Wageningen University (Overbeek and Vader, 2003), also EU 5th Framework and finished in 2005.

At the moment, probably the largest running EU-financed research project on sprawl, semi-urban areas and urban fringe land use is the EU 6th Framework-funded PLUREL (Peri-Urban Land Use Relationships) project. With a consortium of research institutions in 14 European countries and China, the project focuses on the challenges of urbanization and the very specific rural-urban regions, with the Leipzig Charter on Sustainable European Cities as background document (Leipzig Charter, 2007). Other interesting research programs are, for example, the "Peri-Urban Interface" program at the Development Planning Unit of the University College London (PUI), or the BUGS (Biodiversity in Urban Gardens) research program at the University of Sheffield. Although the

latter does not contain any direct research on the general urban context issues discussed in this paper, the research projects in the BUGS program do investigate several ecological properties in gardens, being a form of urban land use that does not only exist in city centers, but also far outside the city limits. Much research conducted in the BUGS framework is discussed in the “Urban Domestic Gardens” paper series, for example, by Thompson *et al.* (2003); Gaston *et al.* (2005a); Smith *et al.* (2005).

In North America, many studies on sprawl, semi-urban areas and urban fringe dynamics are funded (and thus steered) by government agencies dealing with non-urban land use like the U.S. Department of Agriculture or the U.S.D.A. Forest Service. An interesting research program is the BES project (Baltimore Ecosystem Study) which started off as the Urban-to-Rural Ecology Gradient Project, run by the Institute for Ecosystem Studies in New York. Examples here are the work of McDonnell *et al.* (1997) and Pickett *et al.* (2004).

A large scale, interdisciplinary research program generating a considerable amount of literature on sprawl and urban fringes is the CAP LTER (Central Arizona – Phoenix Long-Term Ecological Research) framework of the Arizona State University in Phoenix, conducting multiple long-term studies on the environmental effects of an expanding metropolis in a desert ecosystem. Some examples of papers brought forth from this research project contain the work of Luck and Wu (2002); Baker *et al.* (2002); Jenerette *et al.* (2007).

2.3 Study areas

During the odyssey through literature, a list of the locations of case studies and examples used in the papers was created. It is self-evident that many of these locations are dictated by the research framework where the study, containing the locations mentioned, fits in. Some of these research frameworks were discussed in the previous Section 2.2.

In Northern America, Phoenix in Arizona (Baker *et al.*, 2002; Cook, 2002; Gober and Burns, 2002; Jenerette *et al.*, 2007; Luck and Wu, 2002; Musacchio and Wu, 2004), New York City (Alfsen-Norodom *et al.*, 2004; Bugliarello, 2004a; McDonnell *et al.*, 1997; Plantinga and Miller, 2001), Madison in Wisconsin (McMillen, 1989; Weng, 2007), Chicago in Illinois (Felsenstein, 2002; McMillen, 1989), Atlanta in Georgia (Bourne, 1996; Gillies *et al.*, 2003; Wolman *et al.*, 2005) and Baltimore in Maryland (Musacchio and Wu, 2004; Wolman *et al.*, 2005) are often used cities to study urban sprawl and related subjects. Statewide studies cover, for example, California (Atkinson and Olson, 1996; Cablk and Minor, 2003; Syphard *et al.*, 2007), Illinois (Deal and Schunk, 2004; Sullivan *et al.*, 2004), or New Jersey (Hasse and Lathrop, 2003; Walmsley, 2006).

Although still not as much investigated on the sprawl, fringe, or semi-urban subject as European and Northern American urban regions, Eastern Asian study regions are becoming more and more important, evidently because of the very rapid urbanization that is happening in some southeastern countries and especially in China. Examples of papers using cities and regions in Asia include Bangkok (Madhavan *et al.*, 2001; Yokohari *et al.*, 2000), Bangalore (Sudhira *et al.*, 2004), Shanghai (Zhang *et al.*, 2004), or Singapore (Yang and Lay, 2004).

In Europe, frequently used study areas are the Leipzig region in Germany (Böhm, 1998; Haase and Nuissl, 2007; Hümmeler, 1998), Leeds (Freeman, 1999; Perry and Nawaz, 2008) and Sheffield (Gaston *et al.*, 2005a; Smith *et al.*, 2006; Thompson *et al.*, 2005) in the United Kingdom and the Flanders region in Belgium (Antrop and Van Eetvelde, 2000; Casaux *et al.*, 2007; Gulinck and Wagendorp, 2002; Hermly and Cornelis, 2000).

The Flanders study region, in particular, forms a specific type and good example study area in the sprawl/fringe/semi-urban area context. The northern part of Belgium is one of Europe’s most densely populated areas with 443.3 inhabitants per square kilometer in 2003 (FOD Economie, 2008), contains some important European cities like Ghent, Antwerp and Brussels¹, and still has an

¹Though the city of Brussels in Belgium is administratively not part of the Flanders region, it is geographically

important agricultural production. In contrast with other European countries like the Netherlands, the United Kingdom, or Germany, Belgium (and thus Flanders as well) had a very liberal spatial planning policy since the 1950s, which resulted in the fact that the majority of the countryside of Flanders has a somewhat urban character. Especially the central part of Flanders now can be described as a very hybrid matrix of countryside, containing a few big cities, some towns, many smaller settlements and this all connected with very dense infrastructure networks, not seldom accompanied by vast linear areas of ribbon-building. Hidden behind these built-up structures, a considerable acreage of agricultural and natural open space (as opposed to urban ‘closed’ space) is still present, be it highly fragmented. With these properties combined, the Flemish landscape outside the city limits in many cases resembles neither countryside, nor cityscape but in fact something ‘in-between’: large areas of Flanders can thus be seen as a good example of a ‘semi-urban area’. Flanders is not a unique case; there are other regions with apparently the same characteristics, for example the Lisbon province north of the city of Lisbon, Portugal, the Veneto province in Italy, the Département Nord in the North of France, or the Mediterranean coastal regions of France and Cataluña, Spain.



Figure 1: Ribbon-building in the Flemish countryside

seen ‘surrounded’ by Flanders and had thus great impact on this region when considering the urban related topics discussed in this paper.

3 Definitions and theories

3.1 The semi-urban condition

Tacoli (1998a) gives a number of examples – ranging from Senegal to the Philippines over China to Europe – showing different definitions of “city”, “countryside”, and of areas that either are situated geographically “in between” city and countryside, or differ from rural and urban landscapes in configuration, functions, and other characteristics, so that they cannot be called city, nor countryside.

A large number of papers on urbanization and related topics starts from the viewpoint of the ‘nearby city’ as driving factor – hence most studies refer to urban geographic theories and typologies (Antrop, 2000b; Antrop and Van Eetvelde, 2000; Lewis and Brabec, 2005). In many cases, the semi-urban areas studied are in the vicinity and under influence of urban cores and thus referred to as “peri-urban” (Allen, 2003; Cavailhès *et al.*, 2004; Tacoli, 1998a; Adell, 1999; Casaux *et al.*, 2007).

In the literature, different categories explicating the “semi-urban” condition can be found, which can be divided into two groups. Firstly, there are descriptive categories, which primarily try to develop analytical frameworks under one of the following captions: the urban-rural divide, the fringe, sprawl, and semi-urban landscapes. The second group corresponds to development or strategic categories for sustainable development including garden cities, new urbanism, landscape urbanism, urban agriculture, neo-rurality, and ecopolis.

Only in rare cases, the phenomena are approached from a more explicit rural reference. Gonzalez-Abraham *et al.* (2007) write of “rural sprawl” (i.e. urban fabric ‘sprawling’ in a rural land use matrix) and Friedberger (2000) mentions the “rural fringe” being under pressure of urban expansion. When describing the metropolitan area of Phoenix, Arizona, Gober and Burns (2002) define an “outer rural zone” – thus incorporating this still mainly agricultural region inside a bigger, predominantly urban, context.

The inherent complexity of the semi-urban areas puts the traditional duality of rural vs. urban areas in question (Gulinck, 2004). To give it some analytical order, Gulinck suggests distinctions between sealed vs. unsealed, open vs. closed, urban and industrial functions vs. rural and natural. This problem is confirmed by Tacoli (1998b), who states that the high rates of failures of development strategies are often due to lack of recognition of the complexity of rural–urban interactions which involve spatial as well as sectoral dimensions.

3.2 The “divide approach”

The categorical divide between rural and urban is a practical response to clarity in land use policy and tenure. It is deeply embedded in culture, in science, and in planning. Strictly spoken, this divide concept is a denial of a semi-urban or semi-rural state, but it does reflect certain realities. First of all, it implies the possibility for a clear categorization of “urban” vs. “rural”.

Local and regional government agencies tend to apply either an urban or a rural focus (Allen and Dávila, 2002) on their own definition of their districts. The categorization of districts as rural or urban is often set by thresholds of demographic density. Also, a combination of criteria may be used, including next to demographical numbers the share of agriculture, distance to urban centers, concentration of commercial and administrative activities, and others (Robinson, 1990).

Rural districts, on the other hand, are in most cases defined in an indirect way, as districts in which urban characteristics are absent or scarce (The Wye Group Handbook, 2007). In cases where more direct definitions are pronounced, they generally refer to traditional land use, rural communities and agriculture. Such pragmatic categorization may hide differences in perception within a single area: perceptions of rural and of urban character can vary between native rural people, new residents, tourists, and planners (Tilt *et al.*, 2007).

The use of criteria and thresholds to define “urban” and “rural” creates apparent clarity, but is at odds with the lack of a fundamental definition of what is precisely urban and what is rural (Countryside Agency Research Programme, 2002; Theobald, 2001). Since there are no unique indicators, nor quantitative thresholds with which to distinguish rural from urban areas, it is even more problematic to find sharp guidelines to demarcate semi-urban from urban respectively rural areas.

The urban–rural divide has become a misleading metaphor that oversimplifies and even distorts the realities (Leinfelder, 2007; Tacoli, 2003).

3.3 The “dynamic edge”: the urban fringe

The word ‘urban fringe’ suggests a topological category, not a sharp divide or edge, but a border zone of an urban area. In further descriptions its dynamic nature – in terms of pressure from the urban area – is revealed as well. According to Hite (1998), the fringe is a frontier in space where the economic returns to land from new urban land uses are roughly equal to the returns from traditional land use. In this sense, the fringe is the losing edge of rurality, and steadily moving outward into the countryside.

The countryside at the farther end of this front can be called peri-urban. The urban fringe is characterized by relatively strong pressures for growth compared to more distant rural areas. Space, land use, social composition, and the economic bases of the different communities are strongly differentiated (Bryant, 1995). According to Hite (1998), the fringe is the ever continuing expression of global to local impacts on prices and of the relative costs of conflicting land uses and commodities. The potential for urban–rural win-win deals is bleak, unless vigorous counteraction in land use policy is implemented. Declining transport costs and communication costs in the general process of globalization are causing factors. Farmland is an important provider of open space which introduces an important pressure on farmers living in or in the vicinity of the fringe (Ryan and Hansel Walker, 2004). The U.S. Census Bureau defines urban(ized) areas as comprising of one or more urban cores (central places) and the adjacent densely settled surrounding territory, which is then called the fringe (Kline, 2000).

Urban fringes as a dynamic “border” zone have been studied often as part of a landscape or phenomenon. Seldom is the fringe itself, as a stand-alone geographical entity object of landscape study.

Examples of these studies are the morphological study of the fringe of Phoenix, Arizona by Gober and Burns (2002), or the study of the fringe areas of Chicago by McMillen (1989). Indirectly, the landscape ecological properties of fringe areas are also studied when using approaches with urban-rural gradients as methods. An often cited example here is the Phoenix urban landscape study of Luck and Wu (2002), which covers the entire metropolitan area of Phoenix, Arizona, using a gradient analysis approach, calculating specific landscape metrics to characterize and classify the area.

Next to the morphological properties of the fringe, more attention is given to the study of land use dynamics in fringes, as conversion from agricultural or natural land into urban land (Hite, 1998; Theobald, 2001), for example in Ohio (Carrión-Flores and Irwin, 2004), in Canada (Beauchesne and Bryant, 1999; Bryant, 1995) or Japan (Mori, 1998) or in the same sense, the multifunctionality of land use in the urban fringe, as for example described by Gallent *et al.* (2004) and Adell (1999), or by Cavailhès *et al.* (2004), who are calling the fringe “the belt outside the city limits occupied by both households and farmers”.

In sociology and economics, the urban fringe can also be considered a well-studied area. Since real estate prices and urban expansion go hand in hand, numerous studies of the urban fringe deal with economic aspects. Well-known examples are Deal and Schunk (2004) who modeled effects of urbanization on land prices, or Libby and Sharp (2003) who introduce the concept of social capital



Figure 2: A typical form of urban fringe land use: retail and storage facilities in the countryside between Leuven and Brussels, Belgium.

as a socio-economic instrument in urban fringe regions. An example of econometric modeling in fringe areas, where the competition between residential and agricultural land use is explained using variables like distance to the nearest town or metropolitan area (Chicago in this case) can be found in the work by McMillen (1989).

3.4 The dynamics approach: urban sprawl

Sprawl is a term often used to describe perceived inefficiencies of development, including disproportionate growth of urban areas and excessive leapfrog development (Carrión-Flores and Irwin, 2004; Irwin and Bockstael, 2004). The European Environment Agency sees sprawl as the leading edge of urban growth (EEA, 2006). In general, most authors and researchers define sprawl as that kind of urban expansion where the rate of land consumption is higher than the increase in population density (Fulton *et al.*, 2001; Wolman *et al.*, 2005). Sprawl is an exurban land use change with a footprint exceeding the minimum required for the activity developed (Allen, 2006).

Kasanko and colleagues performed a study on 15 European cities and concluded that whereas cities in the south of Europe tend to become denser, most cities in northern and western Europe become more dispersed in the countryside: so the “fringe” phenomenon makes place for “sprawl” (Kasanko *et al.*, 2006). Whereas a fringe still denotes a specific place, close to some core area, this is less the case for sprawl, which is rather a dynamic process than a geographical area. Sprawl not necessarily radiates from a center, but is a phenomenon that is less dependent on distance constraints. However, the European Environmental Agency still defines sprawl as a “physical pattern of low-density expansion of urban areas, under market conditions, mainly into the surrounding agricultural areas” (EEA, 2006). According to the same source, this results in a patchy, scattered, strung out, discontinuous and leapfrogged landscape.

Sprawl is not a random phenomenon: much urban development is closely related to infrastructure networks (Ewing *et al.*, 2000; Schrijnen, 2000), whilst Felsenstein (2002) investigated the relationship of sprawl with high-tech agglomerations around bigger cities. McDonnell and Pickett (1990) define sprawl as an increase in human habitation, with increased per capita energy consumption and an extensive modification of the landscape creating a system that does not depend principally on local resources to persist. Urban and suburban sprawl is one of many inter-linked

components of the movement of people across the landscape (Dwyer and Childs, 2004). Sprawl stays an elusive term: according to Fulton *et al.* (2001), it could mean auto-oriented suburban development, or low density residential subdivisions on the metropolitan fringe, or even any kind of suburban growth style, whether driven by population increase or not. The latter definition is regularly used in popular press.

It is difficult to apply one single definition to a problem. Fulton *et al.* (2001) state that there is no unique ‘sprawl’ problem in the United States. Sprawl is often confused with ‘general suburbanization’ (Torrens and Alberti, 2000), without clear empirical foundation. Lewis and Brabec (2005) define sprawling urbanization as a fifth landform next to the four urban types defined by Lynch and Bacon: nuclear, linear, stellar and constellation (Lynch, 1954; Bacon, 1974). Galster *et al.* (2001) define sprawl as a pattern of land use in an urban area that exhibits a combination of eight distinct dimensions of land use in low levels: density, continuity, concentration, clustering, centrality, nuclearity, mixed use and proximity.

An indication of the difficult quest for identity of diffuse expansion of urban development is the large vocabulary in different languages: next to words like sprawl itself, network city, periphery, fragmented urbanization, in Italian *città diffusa* and *città frattale* (the fractal city) (Batty and Longley, 1997) are used, in Dutch we find *nevelstad* (“nevel” meaning mist or haze), *rasterstad* (grid city), and *tapijtmetropool* (“tapijt” is carpet) (Urban Policy Project, 2003; Leinfelder, 2007), in French *hyperville* (megapolis in English) (Bourne, 1996) and *ville émergente* (Dubois-Taine and Chalas, 1997).

In the older literature about the expansion of urban cores, three main theories can be found: the concentric zone theory first developed by Burgess (1925), followed by the sector model theory of Hoyt (1939), concluding with the multiple nuclei theory first discussed by Harris and Ullman (1945). Some of the more recent city model theories include the catastrophe theory or the chaos theory of Wilson (1976, 1981), the dissipative structure model, the theory of self-organization or fractal models (Batty and Longley, 1987). Most of these concepts imply the reference of the classical, concentrated urban condition and describe some form of new urban conditions at low spatial density. In metropolitan areas or where larger cities are located close to each other as is the case in many regions in Europe (Hagoort *et al.*, 2002), in the Bay Area in California (Schrijnen, 2000), in the Boston-Washington megapolis or in Japan (Mori, 1998), the cities and their surrounding peri- or semi-urban areas merge into large city regions, where residential areas, retail, services, industries, leisure centers and parks form a network of functional nodes connected by transport infrastructure: urban landscapes often referred to as conurbation (Countryside Agency Research Programme, 2002; EEA, 2006), superburbs (Bourne, 1996), grid cities (Schrijnen, 2000) or constellation regions (Lynch, 1954).

3.5 Toward a more holistic approach: the semi- and peri-urban landscape

Many authors claim two important consequences of sprawl, the urbanizing landscapes and fringe land use dynamics: first, landscape dynamics like sprawl cause such changes that the resulting land use is hard to be classified as either rural or urban land use. Land use becomes blurred (Dwyer and Childs, 2004). Secondly, because of the dynamic character of the fringe areas, they become so scattered, broad or detached from the city core, that defining them as the border or fringe between city and countryside becomes ambiguous (Tacoli, 1998a) or with a “fuzzy boundary” (Yang and Hillier, 2007).

Cavallières *et al.* (2004) recognizes a sprawled area beyond the city limits and suburbs, but no more real countryside: a belt outside the city limits occupied by both households and farmers. As a result of sprawl, a contiguous territory with specific characteristics develops (Kline, 2000). This is the rationale to lift both “fringe” and “sprawl” toward the level of “landscape”. Instead of

looking at some badly analyzable spatial and functional chaos, some organizational and functional logic is searched for. Few authors, however, will explicitly mention the rural–urban transition zone as a specific landscape. Moreover, landscapes strongly affected by urbanization do not fit the traditional schemes of landscape definition and analysis, which are strongly rooted in historical reference frameworks (traditional rural landscapes, natural landscapes) or in theories and practice of landscape design.

In a first group of articles, semi-urban landscapes are seen through a strong urban bias. Allen and Dávila (2002) define a peri-urban interface: a mosaic of agricultural and urban ecosystems, subject to rapid change with a large social mix and with clearly measurable distinctive features (Allen, 2003). Another definition of the area ‘between’ countryside and city is what Irwin and Bockstael (2004) call the exurban areas beyond suburbia on the rural–urban divide. Bourne (1996) describes an evolution towards “exurbia”, a semi-urban landscape beyond suburbia: suburbs become edge cities and they become “superburbs”, a phenomenon frequently studied in the United States (Bourne, 1996; Bugliarello, 2004a; Felsenstein, 2002; Holden and Turner, 1997; Theobald, 2001). Bourne also states that there is no clear border between suburbia and this exurbia that contains edge cities and semi-agricultural, semi-urban landscapes. Yang and Lay (2004) see these landscapes under urbanization pressures as “nurtured landscapes”, literally fed by the cities which they enclose as peri-urban area. In densely populated areas with extensive networks of cities and town, the semi-urban landscape itself is enclosed by the city fabric, and thus “nurtured” by multiple sources. Wolman *et al.* (2005) propose the concept of the extended urban areas, based on housing density and commuting patterns.

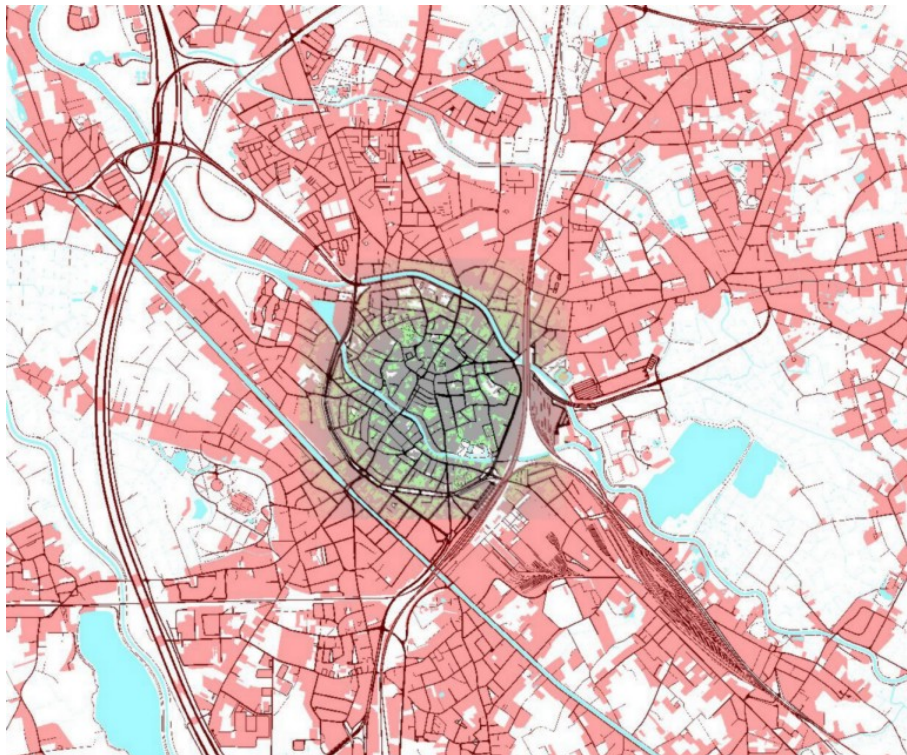


Figure 3: Urban sprawl (pink) creating a semi-urban area around Mechelen, Flanders region, Belgium.

In contrast with the former paragraphs, some authors partly reject the dependency-from-cities vision. According to Adell (1999), peri-urban zones are dynamic, both spatially and structurally,

and form distinctive areas of agricultural and non-agricultural activity. Alfsen-Norodom defines the entire area around metropolitan areas as a separate “biosphere” – a concept to see a landscape with dense and less dense built-up areas and generally a hybrid land use as a entirely “new form of (dynamic) landscape”, with its own biotopes, ecosystems and landscape dynamics (Alfsen-Norodom, 2004; Alfsen-Norodom *et al.*, 2004). A typical “biosphere” related variable, carrying capacity can be useful as variable, to measure the semi-urban area, which can be seen as a heterogeneous mosaic of natural, production and agricultural ecosystems (Allen, 2003). Also Antrop and Van Eetvelde (2000) define the urban fringe as a landscape and not just a transition between an urban and a rural landscape. These ‘new’ landscapes are created by a functional heterogeneity and are much more complex in reality than many city or landscape models may show. Typical city models are not sufficient (Antrop, 2004).

Some theoretical support to develop a diagnostic framework for semi-urban landscapes can be sought in the analysis of its functional system. In urban fringes, a number of fluxes meet (Tacoli, 1998a, 2003): urban ‘entities’ (people, systems, industry, waste, products, culture, ...) enter these areas and are substituted for agricultural systems, products, even people. In a way, these semi-urban areas function as a transfer area between urban and rural systems. Because of this, they are hybrid landscapes with both rural and urban properties, but also attract their own, specific kind of ‘semi-urban’ entities, such as ‘urban agriculture’ (Beauchesne and Bryant, 1999). This can be considered a rather optimistic viewpoint, stressing added value to and specific properties of the urban-rural mix.

3.6 Semi-urban areas in fast developing countries: Desakota

The **Desakota** concept deserves to be mentioned here: where traditional theories relate the rapid growth of cities in third world countries to fast depopulation of the countryside, in Southeast Asian regions another process is defined: the rural population, living within the hinterlands of large (rapidly industrializing) cities, is spontaneously transforming their rural lifestyles into urban ones without leaving their rural environments. So, in this approach, cities are not really expanding but the neighboring countryside is transforming ‘itself’ into a specific kind of semi-urban fabric (Adell, 1999; Heikkilä *et al.*, 2003; McGee, 1991; Xie *et al.*, 2005; Yokohari *et al.*, 2000).

3.7 Development models for fringe, sprawl, and semi-urban areas

From the early 19th century industrial towns, urban planning became the official and most adequate tool for the organization of urban fabric in and around towns and cities. The younger the urban planning theories, the more attention they pay to concepts such as sustainable development, landscape conservation, and urban ecology. In the following paragraphs, a brief overview of development theories is given. Some of these concepts or theories are specifically developed for the urban planning in semi-urban areas or are developed as an answer to fringe problems and sprawl. Other theories are more general urban planning theories, which however can be applied to those areas that are the subject of this paper. The main difference between these ‘specific’ theories and the ‘general’ theories is the basis where they were generated from: the specific theories are often developed as an answer to a specific problem (e.g. sprawl), while general theories involve a whole list of goals.

Rural planning principles were not often found in the literature, when using the review method as described earlier. Tilt *et al.* (2007) did study the perception of the rural character in areas subjected to sprawl and compared them with the perception of “pure” rural areas. Tress and Tress (2003) state that when planning urban expansion or other forms of urban driven development in the countryside, all stakeholders or people affected by this should be included in developing the plans. Like Tilt and co-workers, they also use visual materials in this transdisciplinary approach.

Allen and Dávila promote the idea that semi-urban planning and urban planning should be the same discipline, especially in urban fringe areas (Allen, 2003; Allen and Dávila, 2002).

Maybe the oldest answer for sustainable semi-urban and peri-urban areas was the **Garden City Movement**, founded by Howard in 1898 (Lee and Ahn, 2003). The central idea is to create a cluster of communities or suburbs, all surrounded by greenbelts and planned with a balanced area for industry, agriculture, services. Hundred years later, the Garden City Movement also formed a base for the urban design movement New Urbanism. An interesting comparison between both movements can be found in the study of two cities, Kentlands and Radburn, by Lee and Ahn (2003). Yokohari *et al.* (2000) and colleagues applied the greenbelt idea from the Garden City concept on Asian mega-cities.

New Urbanism is a (architectural) design movement that specifically focuses on the improvement of the initial chaotic, inefficient and ‘ugly’ layout design of the suburban and exurban landscapes (Bourne, 1996; Katz, 1993). It is a movement ‘against’ sprawl, with a main goal being the preservation of open space (Talen, 2005; Congress for the New Urbanism, 1996): bringing order and coherence to the growing ‘Edge Cities’ on the urban fringe, by introducing (walkable) multifunctional and integrated urban areas on different scales (from town to neighborhood), always with a strongly integrated open space system (Walmsley, 2006). New Urbanism as a tool against sprawl is illustrated in a study by Skaburskis (2006) in Toronto, Canada.

Partly parallel to the New Urbanism approach, partly as a continuation and refinement of these theories, **Landscape Urbanism** is a modern design theory that proposes an alternative to sprawl inducing planning processes. Landscape Urbanism places the landscape (on or in which urbanization occurs) central as a model for urbanism and as a model for process as opposed to common planning, design, and architectural theories and policies (Allen, 2001; Hackworth, 2005; Shannon, 2004; Waldheim, 2006).

Urban ecology is an interdisciplinary science, where any urban system with its main components – human activity and artificial land cover, in conflict or competition with natural land cover – is studied as a ‘natural system’ with its own specific ecology (Breuste *et al.*, 1998; Collins *et al.*, 2000). These theories go back to the basic **Ecopolis** concept of Tjallingii (Pearce, 2006; Tjallingii, 1995), or the **Ecocity** builders (Register, 2002; Rees, 1999). It is a model for sustainable city planning, including urban agriculture, which measures avoiding sprawl or mitigating sprawl effects, avoidance of urban heat islands, and other ideas.

New forms of agriculture adapted to urban and semi-urban conditions are getting more and more attention (Jarosz, 2008). **Urban and peri-urban agriculture**, as (geographically) opposed to large-scale, intense, and traditional “rural” agriculture, is an example of modern agriculture (Tacoli, 1998b, 2003). It is a reply to a growing demand for short food chains, organic farming and high quality agricultural goods supply. Because of phenomena like sprawl, agricultural land in the vicinity of the urban fringes or in semi-urban areas is highly fragmented with, relative to traditional farms, small plot sizes and a small production in absolute figures. These farms, however, form an ideal multifunctional land use, not only for food production (be it smaller and less economically efficient in some cases), but for production of specific regional products, organic farming, agri- and eco-tourism (Beauchesne and Bryant, 1999; Bryant, 1995; Countryside Agency Research Programme, 2002).

The core of the concept of **neo-rurality** is to consider values, services, and functions linked to the unsealed soil beyond being a mere component of an urban or rural environment, and which are being managed and sustained by specific compartments of society. Distance to urban components is not a criterion. The concept is useful but not exclusive to semi-urban areas (Gulinck, 2004). Land-bound urban agriculture is an example of a neo-rural function, as is the acknowledgement of unsealed soil for water retention or microclimate regulation.

Smart growth is a recent planning concept now becoming popular in the United States as a framework to dam sprawl and work on sustainable city development. Although it is based on

urbanistic principles and so primarily focused on the human-dominated environment, it incorporates elements from various other planning frameworks for sustainable (urban) development such as anti-sprawl measures, greenbelts, rural development near urban fringes and multifunctional land use (Burchell *et al.*, 2000; Daniels and Lapping, 2005; Danielsen *et al.*, 1999; Duany and Talen, 2002; Irwin and Bockstael, 2004; Walmsley, 2006).

4 Research issues concerning semi-urban landscapes

When summarizing the (research) backgrounds of the publications analysed for this study, there are three main ‘research issues’ that always return, in most cases even in relationship to each other. The first – and most general – research topic which can focus on semi-urban landscapes, is the construction, study, or lack of a sustainability framework for this specific land cover. Very narrowly related to this topic, because it is inherent to the sustainability story, are the research topics concerned with the environmental impacts of, and inside, semi-urban areas. To study these latter two issues, there is obviously a need for methods, tools and technical knowledge to measure and detect or identify semi-urban areas, fringes, and sprawl dynamics and its properties – these research topics being the third often encountered issue.

4.1 Environmental impacts

Land transformations associated with urban expansion can significantly affect biodiversity, energy flows, biochemical cycles, climate conditions, hydrology, soil properties at local, regional and even larger scales (Baker *et al.*, 2002; Breuste *et al.*, 1998; Sukkop and Hejny, 1990).

Urban sprawl is often seen as a key sustainability problem (Davis and Schaub, 2005; Frenkel, 2004b; Galster *et al.*, 2001; Hasse and Lathrop, 2003; McDonnell *et al.*, 1997; Schrijnen, 2000). Sprawl causes fragmentation of open spaces with well-known consequences like habitat loss, landscape degradation, etc. (Alberti, 2005; Alig *et al.*, 2004; Antrop, 2004; EEA, 2006; Gardner *et al.*, 1993; Gonzalez-Abraham *et al.*, 2007; Gulinck and Wagendorp, 2002; McKinney, 2002; NuiSSL *et al.*, 2009; Savard *et al.*, 2000; Tischendorf and Fahrig, 2000).

Next to the fragmentation, sprawl also “consumes” land: natural and agricultural land is transformed, sometimes at high speeds, into “artificial” land covers, like residential, industrial or service areas. Not only does this result in a general decrease in agricultural and natural land, due to the competition with these types of land cover it changes their socio-economic properties, with a standard example the simplest of all indicators, the price of land (EEA, 2006; Felsenstein, 2002; Frenkel, 2004a; Kahn, 2000; Mori, 1998; Plantinga and Miller, 2001; Ryan and Hansel Walker, 2004).

Sprawl is characterized by auto-centered, low density communities that consume large amounts of open space per capita (Davis and Schaub, 2005; Fulton *et al.*, 2001) and energy because of longer transport distances (Calthorpe and Fulton, 2001; Ewing *et al.*, 2000; Gonzalez-Abraham *et al.*, 2007; Muñiz and Galindo, 2005).

Sprawl is known to be the major cause of visual degradation in already densely populated areas in, for example, Southeastern Asia (Madhavan *et al.*, 2001), California (Atkinson and Oleson, 1996; Fulton *et al.*, 2001) or Northwestern Europe (Antrop and Van Eetvelde, 2000; EEA, 2006; Hagoort *et al.*, 2002; Holden and Turner, 1997). Another negative effect of the existence of semi-urban areas, especially in more arid climates like the Californian or Australian deserts, is the increased fire risk (Syphard *et al.*, 2007).

Other examples of ecological impacts of sprawl, semi-urban areas, or fringe dynamics are climate impacts. McDonnell *et al.* (1997) also studied ecosystem temperatures along a rural–urban gradient, Jenerette *et al.* (2007) proved regional relationships between surface temperature and vegetation in urbanizing ecosystems, Baker *et al.* (2002) studied this for the Phoenix metropolitan area in Arizona and Saaroni *et al.* (2000) studied the heat island effect in Tel-Aviv, Israel.

The bulk part of artificial land cover is impervious or at least only partly permeable. An increase in urban fabric automatically means a – sometimes dramatic – increase in area of impervious surface (Foley *et al.*, 2005; Stone Jr, 2004), having impacts on all kinds of hydrological properties, like run-off and pollutant infiltration (Bronstert *et al.*, 2002; Carlson and Arthur, 2000; Haase and NuiSSL, 2007; Niehoff *et al.*, 2002; Pauleit and Duhme, 2000; Perry and Nawaz, 2008; Pickett *et al.*, 2001;



Figure 4: Urban sprawl is accompanied by an increase in impervious surfaces with well-known environmental impacts.

Ziegler *et al.*, 2004). Measuring surface sealing is an important issue when studying flood control and urban hydrology (Jennings and Jarnagin, 2002; Jennings *et al.*, 2004). It is no surprise that the evolution of sprawl and semi-urban areas is narrowly followed by hydrologists (Gillies *et al.*, 2003; Hall, 1989; Hoggan, 1989; Pauleit *et al.*, 2005; Shaw, 1988; Zheng and Baetz, 1999).

A meanwhile very common way to calculate the impact of any form of human activity, thus including urban expansion like sprawl or the existence of semi-urban areas, is by calculating the ecological footprint (Bugliarello, 2004b; Muñiz and Galindo, 2005). Rees (1992) calculated the footprint of sprawl in a valley in Canada, Alfsen-Norodom (2004) did similar experiments for the New York metropolitan area, Doughty and Hammond (2004) did a thorough footprint analysis for the Bath region, U.K.

Another big impact of sprawl, semi-urban areas and fringe expansion and dynamics that needs to be mentioned is what can be called the ‘garden’ effect. Urban sprawl in most cases means a large growth in residential area (compared with the increase of industrial or other artificial, anthropogenic land cover). In developed countries, an increase in residential area, mostly also means an increase in garden area, since the majority of the ‘growing’ residential area, in the fringe, sprawling, in the semi-urban area, is low density housing, accompanied by gardens and on top of that public or semi-public green areas like road verges, parks, golf courses and other forms of green recreation sites (Colding, 2007; Loram *et al.*, 2007; Poole, 1993; Smith *et al.*, 2005). While, on the one hand, this increase in green biomass could be an improvement when considering it as pure ‘biomass’ and carbon dioxide storage (Bjerke *et al.*, 2006; McDonnell *et al.*, 1997; Platt, 2004; Yokohari *et al.*, 2000), this is not always the case when discussing biodiversity. Gardens and parks possess large amounts of exotic plant species and thus not only introduce non-native species, but also increase competition between exotic and native plant species, in some cases resulting in a decrease of native flora as well as native fauna ecologically linked to those native species (Alberti, 2005; Gallent *et al.*, 2004; McKinney, 2002; Niemelä, 1999; Pauchard *et al.*, 2006; Savard *et al.*, 2000; Theobald, 2004; Vogtländer *et al.*, 2004). Extensive research has been done on biodiversity in and around gardens in the Urban Domestic Gardens research in, for example, the Sheffield region, U.K. (Gaston *et al.*, 2005a,b; Loram *et al.*, 2007; Smith *et al.*, 2005, 2006; Thompson *et al.*, 2003,

2005, 2004).

The important role of public green in the urban ecology debate for sustainable cities can be found in studies of biodiversity in parks (Cornelis and Hermy, 2004; Hermy and Cornelis, 2000) and their role as buffers, social important locations, and landscape connectors (Angel *et al.*, 2005; Bjerke *et al.*, 2006; Countryside Agency Research Programme, 2002; Chiesura, 2004; Niemelä, 1999).

4.2 Detecting and measuring fringe, sprawl and semi-urban landscapes

Definitions or descriptions given to specific forms of land use or its dynamics, define the way it is measured. For example, large studies are conducted to measure sprawl and its impacts. Examples of studies only measuring the physical and landscape ecological properties of sprawl are done, for example, by Malpezzi and Guo² or Torrens and Alberti (2000), and the study of sprawl as a process by Wolman *et al.* (2005). Studies measuring the impacts of sprawl, semi-urban landscapes, and land-use interactions on the urban fringes form a long list, of which examples are discussed further on in this paper.

A specific method of studying urban fringe systems and sprawl, which is related to urban cores, is gradient analysis. Good examples of this method are the studies of McDonnell (McDonnell and Pickett, 1990; McDonnell *et al.*, 1997), the detailed rural–urban gradient analysis of the area around Phoenix by Luck and Wu (2002), and the GIS based gradient analysis of the urban landscape and sprawl dynamics in China, in Shanghai (Zhang *et al.*, 2004) or Guangzhou (Yu and Ng, 2007). Other rural–urban gradient studies cover ecological indicators (McKinney, 2002), residential building patterns (Weng, 2007), land use properties like vegetation type (Zhao *et al.*, 2007), sealed surfaces (Jennings *et al.*, 2004), or building densities (Longley and Mesev, 2002).



Figure 5: Panorama of a semi-urban landscape seen from the hills of Sintra, north of Lisbon, Portugal.

Multiple authors emphasize the importance of choosing the right scale properties when studying sprawl phenomena, the rural-urban fringe, and semi-urban areas. Especially when measuring physical geographical properties like landscape metrics as fractal dimension, diversity indices or patch densities, properties as resolution, study area extent, and classification type have great influence on the results of the measurements (Cain *et al.*, 1997; Hasse and Lathrop, 2003; Lam and Quattrochi, 1992; O'Neill *et al.*, 1996; Saura, 2004; Wu, 2004).

Because of the heterogeneity of semi-urban land use and the hybrid, intricate and apparently ‘unorganized’ structure, working with high resolution data for high levels of detail is often recommended both for monitoring (Cablak and Minor, 2003; Chen and Stow, 2003; Herold *et al.*, 2002), as for modeling (Pontius Jr *et al.*, 2004). Spectral unmixing may provide some solution for the

²Malpezzi, S. and Guo, W.K.: “Measuring Sprawl: Alternative measures of urban form in U.S. metropolitan areas” – unpublished paper from the Centre of Urban Land Economics, University of Wisconsin, Madison, USA.

detail enhancement of low resolution spatial data (Raymaekers *et al.*, 2005; Wu and Murray, 2003), however, extra and more detailed ground data is needed for verification (Jensen and Cowen, 1999).

4.3 Sustainability and sprawl, semi-urban areas and urban fringes

Theobald (2001) recommends researchers to recognize the ubiquity of exurban areas and better incorporation of fine scale patterns of land use beyond the urban fringe. The literature concerning sustainability and semi-urban areas reveals three groups. The first group relates different demands for land use to each other, not only at local level (direct competition for land and incompatibility of land uses) but also at global level (footprinting). The second group rather focuses on the local to global environmental impacts other than related to land take. The third group assumes a more positive stance in focusing on the benefits and ecological opportunities of the green component in (semi-)urban areas.

Many authors suggest planning concepts or tools, derived from these theories, for sustainable urban planning. Tools like the “urban structural unit” principle (Böhm, 1998), conurbation measures (Countryside Agency Research Programme, 2002) or planning concepts like the Biosphere project (Alfsen-Norodom, 2004; Alfsen-Norodom *et al.*, 2004), multifunctional agriculture (Casaux *et al.*, 2007) or neo-rurality (Gulinck, 2004) are certainly worth mentioning and open interesting paths to a general improvement of landscapes consisting of a rural–urban matrix.

Pickett *et al.* (2004) proposes the concept of “cities of resilience”, with a deep link between urban design and ecology, a parallel can be found in the “nurtured landscape” view of Yang and Lay (2004).

Good examples of decision support strategies as a tool for sustainable urban planning of semi-urban areas include sprawl measures, master plans, neighborhood development tools, hierarchical processes for evaluation, growth management, and the implementation of green structures as an instrument and are abundant in the literature (Banai, 2005; Cadieux, 2008; Daniels and Lapping, 2005; Hümmeler, 1998; Jensen *et al.*, 2000; Yli-Viikari *et al.*, 2007).

5 Conclusions

After this review-like study, a result of reading more than 200 publications, reports, proceedings and other papers, the following conclusions can be distilled.

It is generally agreed that half of the world's population lives in a landscape that can be classified as 'urban'. However, this does not mean that half of the world lives in cities. In large parts of the world, especially in developed countries or rapidly developing countries, these urban landscapes differ in density, spatial configuration and land use properties from 'urban cores', cities or towns. The increasing number of papers on the broad theme of intake of rural and natural area by urbanization processes and of the resulting state of a semi-urbanized conditions and its impacts on society, the economy, and the environment, leaves no doubt that this subject is soliciting due interest. The major conclusion of this review, however, is the apparent persistent lack of consistency in definitions, vocabulary, and above all, a diagnostic grammar.

The two most frequently used concepts to describe the semi-urban state are "fringe" and "sprawl". Although the semantics are somewhat blurred, both terms point to fundamentally different spatial expressions of urban expansion. Fringe implies an outer zone of urban expansion that outwardly radiates from core urban areas. Sprawl much more implies the emergence of spatially distributed urban conditions, much less dependent of core areas.

Research on sprawl, fringes, and semi-urban areas can be conducted in ecological, social as well as economical sciences; it leaves no doubt that the whole sprawl-fringe-semi-urban area concourse is a full-issue sustainability topic. In this paper, only the ecological dimension of the sustainable development is the primary focus. When browsing literature about sprawl, urban fringes, and semi-urban landscape, ecological impacts are most commonly studied, since these forms of land cover dynamics can have great impacts on biomass availability, biodiversity, soil quality, hydrology, landscape structure and conservation, habitat fragmentation and many other topics. A second topic, often found linked with sprawl and semi-urban areas are technical issues, frequently dealing with data properties like scale effects. The need for high resolution and very detailed data to study semi-urban areas and sprawl effects is repeatedly emphasized.

A broad range of development strategies and concepts exists, almost all of them have as goal sustainable (urban) planning and propose, for example, measures to restrict sprawl: New Urbanism and Landscape Urbanism as architectural theories, Smart Growth as an example of sustainable policy concept.

One would expect an equilibrated approach to semi-urban areas from two groups of disciplines: the "urban disciplines" and the "rural disciplines". To the contrary, however, there is a very strong bias of urbanistic approaches. This can be explained by the fact that the most obvious and active process in these areas is of strong urban nature, the rural and natural area being the "loosing aspect". Whereas the phenomena of sprawl and the fringe can be fully acknowledged as specific forms of urban development, the residual rural characteristics in the semi-urban area seem to be much less attractive as focal issues for rural development. So, from international to local level, the definition of rurality commences with the exclusion of land areas above a threshold of urban development. Urbanistic theories and practice are much less tempted to exclude thinly built-up areas from their fields of research and application.

Exceptions to this exclusion behavior of rural research and application can be found in the upcoming theme of urban agriculture, but here again, the key characteristic of this agriculture is urban, and the emphasis in this field is to turn farming as part and parcel of urban, not rural development. This apparently persistent allocating of open space functions to either the rural or the urban side of the semi-urban continuum appears to be difficult to be countered. The concept of neo-rurality, although the name suggests positioning at the rural side, is meant to develop concepts for sustainable use of unbuilt spaces irrespective of their geographical position, close to or far away from urban fabric.

Much less frequently, compared to sprawl and fringe, half urbanized areas are being categorized as a specific type of landscape. This can be explained in different ways. First of all, the term of landscape implies some recognizable order or pattern in terms of ecological functioning, land use, visual quality, historic development or characteristics in general sense. The typical heterogeneity of spatial, environmental and use conditions in half urbanized areas make these hard to fit into the landscape analytical schemes. However, the landscape concept – with its branches in landscape ecology, landscape design, etc. – is a potential field for developing sustainability development principles, but here again the urban–rural divide is lurking, for instance in the discipline of landscape urbanism, in which landscape principles are fully integrated in urban development.

Although plenty of research is committed to topics such as sprawl, urban fringes, and semi-urban areas, several authors point to the lack of a clear definition of what sprawl, the urban fringe, and a semi-urban area is.

This paper illustrates that sprawl, urban fringe dynamics, and semi-urban area issues are ‘hot topics’ in actual research concerning sustainable development. It also illustrates the great diversity of concepts, theories, definitions (and the lack of them) and approaches. For an important part, this can be justified by the fact that every scientific discipline starts from its own basis and thus develops its own approach and theory around the topics here discussed. On the other hand, this is in contradiction with actual popular concepts of integrated, inter- and multidisciplinary approaches, certainly in landscape research (Antrop, 2000a; Pickett *et al.*, 2001; Tress *et al.*, 2006; Wu and Hobbs, 2002). Where there is already a fair amount of research done on the impacts of urbanizing and already (semi-) urbanized landscapes and the application of existing planning concepts as remedies, the construction of a general, multi-applicable framework for landscapes subjected to urban sprawl, the urban fringe, or semi-urban landscapes is still scarcely out of the egg.

Towards a general definition for semi-urban areas

An important next step in the aftermath of this study, could be the formulation of a new, generalizing definition of semi-urban areas, although one of the main conclusions of this paper is that finding a “general definition” is very difficult, maybe even impossible, due to the various different approaches on the subject, the different frameworks, needs, etcetera. As an illustration, a possible definition – or at least start of – is provided in the next paragraphs. It has to be noted that this should be understood as a beginning and that the definition is not (yet) tested on a sufficient number of case study areas and is highly dependent on the (landscape ecological) background of this study.

In a landscape ecological context, a landscape can be identified as a semi-urban area,

- when its land cover consists of a sufficient high degree, but not exclusively, of artificial land use with a mainly urban land cover that can be classified as sealed surface (transport infrastructure, buildings and other built-up urban fabric) and
- when its land cover also consists of a sufficient degree, but not exclusively, of green structures, possibly entirely or partly of artificial nature, that may accompany built-up structures or have a specific social or ecological function in an urban context, like parks, road verges, gardens and
- when it can be clearly measured that the land use is in some way dynamic, either because of a pressure from factors coming from other surrounding landscapes (population growth or movement, for example) or a pressure created by the land use itself (for example, more intense road infrastructure development because of a higher degree of commuting) and
- when it can also be proven, possibly by using a reference landscape, that the area of study is neither “pure” urban core (village, city, town), nor “pure” agricultural land, nor “pure”

natural area. In principle, if the triangular relationship between (a), (b) and (c) is clearly a fact, condition (d) is unnecessary.

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A Landscape research on semi-urban areas, conditions and dynamics: A literature summary

Table 1: Publications using a ‘dynamics’ (sprawl) approach.

Authors	Year	Keywords	Study Area
Allen	2006	Editorial / Sprawl definition	No specific study area
Atkinson et al.	1996	Urban sprawl in economic context	California, U.S.A.
Calthorpe	2001	Regional cities / Edge cities / Urban fringe-sprawl	No specific study area
Carrion-Flores et al.	2004	Sprawl / Suburbia / pattern analysis / Fragstats	Ohio, U.S.A.
Croissant	2004	Urban fringe / parcel boundaries / LULC	Indiana, U.S.A.
David et al.	2005	Sprawl / metrics / impervious surfaces	Pacific Coast Region, U.S.A.
Dwyer et al.	2004	Sprawl / blurring of distinctions between urban and rural	No specific study area
EEA	2006	Sprawl / indicators / study for Europe	Europe
Ewing et al.	2000	Transportation impacts / sprawl / PCA	Metropolitan areas, U.S.A.
Frenkel	2004	Sprawl models / Planning principles	Israel
Fulton et al.	2001	Sprawl / auto-oriented / population density	U.S.A.
Gillies et al.	2003	Impervious surfaces / Aquatic fauna (mussels) / growth	Atlanta, U.S.A.
Gonzales-Abraham et al.	2007	Rural sprawl / Building Pattern / Fragmentation	Wisconsin, U.S.A.
Haase et al.	2007	Hydrology / urban sprawl / Impact on water balance	Leipzig, Germany
Hasse et al.	2003	Sprawl / indicator framework / land resource impact	New Jersey, U.S.A.
JRC	2002	Urban atlas / database construction / sprawl	Europe
Kasanko et al.	2006	Sprawl / Indicators / Dispersion of cities	15 European cities
Kline	2000	Growth management / fringe definitions / sprawl indicators	U.S.A.
Malpezzi et al.	2001	Measuring sprawl / suburbanization / tools	No specific study area
Skaburskis	2006	New Urbanism / sprawl / suburban	Toronto, Canada
Sudhira et al.	2004	Quantifying sprawl / Remote Sensing / spatial and temporal	Mangalore, India
Tacoli	1998	Sprawl / Rural-urban interactions / definitions	No specific study area
Torrens et al.	2000	Measuring sprawl / suburbanization / tools	No specific study area
Walker et al.	2008	Urban Sprawl / Rural landscape / Psychology	Maine, U.S.A.
Wolman et al.	2005	Measuring sprawl / condition or process / extended urban areas	Atlanta, Baltimore, Boston, LA, Washington, U.S.A.

Table 2: Publications using a ‘landscape’ approach (semi-urban areas).

Authors	Year	Keywords	Study Area
Allen	2003	Peri urban interface / semi urban areas definition	No specific study area
Antrop	2004	Urbanization / suburban landscapes	Europe
Antrop et al.	2000	Suburban landscapes / sampling / city expansion models	Ghent, Belgium
Barnard et al.	2001	Lot size / urban expansion / suburbs	U.S.A.
Daniels et al.	1998	Suburban fabric / extend countryside / impact	Metropolitan areas, U.S.A.
Furuseth et al.	1999	Contested countryside / clash of functions / edge cities	Metropolitan areas, U.S.A.
Hiltunen	1998	Suburbanisation	Finland
Holden et al.	1997	Edge cities / green belts around cities	Belgium and The Netherlands
Kahn	2000	Suburbia / Household level / Energy & driving consumption	U.S.A.
Tacoli	2003	Rural vs urban camps / linking rural-urban	No specific study area
Theobald	2001	Lack of definitions / exurban development / mixed land use	U.S.A.
Xie et al.	2005	Desakota / rural landscapes / urban landscapes	China

Table 3: Publications mainly focussing on, or using, other concepts, theories and development models related to semi-urban areas.

Authors	Year	Keywords	Study Area
Adell	1999	Review / theories and models / Desakota	No specific study area
Alfsen et al.	2004	Megacities / Sustainability management / Biosphere	New York Metropolitan area, U.S.A.
Antrop	2000	Patterns / landscape ecology / chronological framework	Flanders, Belgium
Bialasiewicz	2006	Città Diffusa / social frameworks	Venice, Italy
Bourne	1996	Suburbs / Exurbia / New Urbanism	No specific study area
Bugliarello	2004	Knowledge cities, transformation into	New York City, U.S.A.
Casaux	2007	Multifunctional agriculture / agriculture in urban areas	Flanders, Belgium
Collins et al.	2000	New urban ecology / integration / multidisciplinary	No specific study area
Daniels et al.	2005	Smart growth / exurbia	U.S.A.
De Rynck et al.	2002	Economische / Sociale / Ecologische principes	Flanders, Belgium
Forman	1995	Land mosaics / Landscape ecology	No specific study area
Gulinck	2004	Neo-rurality concept	No specific study area
Henderson	2005	Transition farm to urban land use / Poultry Farmers	Australia
Henderson	2003	Transition farm to urban land use / Poultry Farmers	Perth and Sydney, Australia
Katz	1993	New Urbanism / small towns	No specific study area
Lee et al.	2003	New Urbanism vs. Garden City	Kentlands NJ, Radburn MD, U.S.A.
Lynch	1954	The form of cities	No specific study area
Musacchio et al.	2004	Interdisciplinary approaches	Baltimore, Phoenix, Willamette Valley, Detroit, U.S.A.
Newman	1999	Urban ecology / Metabolism concept	No specific study area
Pickett et al.	2004	Resilient cities / spatial heterogeneity	Baltimore, U.S.A.
Potter et al.	1995	Social / Economic viewpoints	No specific study area
Schrijnen	2000	Infrastructure networks / Red-Green / Grid Cities	No specific study area
Talen	2005	New urbanism vs. American Planning	U.S.A.
Sullivan et al.	2004	Conflicts / agricultural buffers / surveys	Illinois, U.S.A.
Van Kamp et al.	2003	Frameworks / review / multidisciplinary	No specific study area
Walmsley	2006	New Urbanism / Greenways / multifunctional planning	New Jersey, U.S.A.
Wu et al.	2002	Key issues in Landscape ecology	No specific study area
Yang et al.	2007	Fuzzy boundaries / Urban development	London, U.K. and Beijing, China
Yang et al.	2004	Concept of Industrial Ecology / Nurtured Landscapes	Singapore
Yokohari et al.	2000	Greenbelts / traditional mixed land use / spatial order	Japan

Table 4: Publications with their focus on urban (and/or semi-urban) green structures).

Authors	Year	Keywords	Study Area
Bjerke et al.	2006	Urban parks / vegetation density / residents preference	Trondheim, Norway
Chiesura	2004	Urban ecology / Parks / Public green	Amsterdam, The Netherlands
Colding	2007	Ecological land use complementation / gardens / urban green	No specific study area
Cook	2002	Ecological networks / hydrology	Phoenix, AZ, U.S.A.
Cornelis et al.	2004	(Sub)urban parks / biodiversity	Flanders, Belgium
Gaston et al.	2005	Gardens / Urban biodiversity	Sheffield, U.K.
Hermý et al.	2000	Parks / Monitoring biodiversity / sub(urban) Parks	Flanders, Belgium
Lee et al.	2008	Residents perception / neighborhood satisfaction / urban green	College Station, TX, U.S.A.
Lee et al.	1997	Greenbelt planning / Distance dependence	No specific study area
Loram et al.	2007	Gardens / distance to city / structure	5 major cities in the U.K.
Niemälä	1999	Urban nature / urban ecology	No specific study area
Pauleit et al.	2005	Green structures / Modelling ecological impacts	Merseyside, U.K.
Pickett et al.	2008	Urban design / plant ecology / suburbs	No specific study area
Pickett et al.	2001	Urban ecological ecosystem / interlinking / integrating	No specific study area
Shaw et al.	1998	Ecological diversity / Parks / Urban green structures	Tucson, AZ, U.S.A.
Smith et al.	2005	Housing / Land Cover ratios / biodiversity	Sheffield, U.K.
Thompson et al.	2005	Gardens / Seed Banks / Biodiversity	Sheffield, U.K.
Ward-Thompson	2002	Parks / Fringe with green as glue / Forman	No specific study area

Table 5: Publications focussing on methods and techniques to detect and or measure properties of variables related to semi-urban areas.

Authors	Year	Keywords	Study Area
Alberti	2005	Urban pattern / Ecosystem functions	No specific study area
Alig et al.	2004	Urbanization figures / econometric	U.S.A.
Baker et al.	2002	Heat islands / ecological consequences	Phoenix, AZ, U.S.A.
Banai	2005	Decision support / Analytic Hierarchy Process	No specific study area
Böhm	1998	Urban structural unit as key tool	No specific study area
Bryant	1995	Social / Economic viewpoints / local actors	No specific study area
Deal et al.	2004	Econometric modelling / Land Use Modelling	Illinois, U.S.A.
Freeman	1999	Site surveying / indicators / ecology	Leeds, U.K.
Gober et al.	2002	Construction completion / Annual change of fringe growth	Phoenix, AZ, U.S.A.
Haack et al.	2006	Urban growth analysis, rural settlements	Kathmandu Valley, Nepal
Hagoort et al.	2002	Urbanization patterns / Growth / Cellular automata	Randstad, The Netherlands, Rhein-Ruhr, Germany, Flanders, Belgium
Heikkilä et al.	2003	Mathematical modelling / Desakota / Fuzzy urban sets	China
Herold et al.	2002	Spatial analysis / hybrid urban environments / Fragstats	California, U.S.A.
Irwin	2003	Exurban areas / land use change modeling / patterns	No specific study area
Jenerette et al.	2007	Heat islands / rapidly urbanizing ecosystems	Phoenix, AZ, U.S.A.
Jensen et al.	2000	Implementation tools / Strategies	Herning, Denmark
Jensen et al.	1999	Temporal and spatial resolution techniques	No specific study area
JRC	2002	MOLAND / fragmentation / green edge index	Europe
Longley et al.	2002	Urban density / space filling	Bristol, U.K.
Longley et al.	1991	Scale / Shape / Size of urban settlements	Norfolk, U.K.
Lopez et al.	2001	LU Modelling / Markov Models / LULC change	Morelia city, Mexico
Lu et al.	2004	Spectral Mixture Analysis / Urban landscape analysis	Indianapolis, IN, U.S.A.
Luck et al.	2002	Gradient analysis / transects / ecological and landscape metrics	Phoenix, AZ, U.S.A.
Madhavan et al.	2001	Mapping urban land use / Transitions / VIS	Bangkok, Thailand
McDonnell et al.	1997	Gradient analysis / air pollution / biodiversity	New York City, Lichtfield County, NY, U.S.A.
McDonnell et al.	1990	Gradient analysis / Urbanization characteristics	New York Metropolitan area, U.S.A.
Netzbänd et al.	1998	Remote sensing / sealed surfaces /	No specific study area
Pauleit et al.	2000	Sealed surfaces / Spatial data acquisition /	Munich, Germany
Perry et al.	2008	Hydrology / Urban areas / Flooding risk / Impervious surface	Leeds, U.K.
Saaroni et al.	2000	Heat islands	Tel-Aviv, Israel

Table 5 – *Continued*

Authors	Year	Keywords	Study Area
Stone	2004	Impervious surfaces / Regulations	Madison, Wisconsin, U.S.A.
Syphard et al.	2007	Fire risk / Urban-Wildland interface / shrublands	California, U.S.A.
Weng	2007	Transect / Gradient analysis / Fragstats / Urban development	Madison, Wisconsin, U.S.A.
White et al.	2000	Cellular automata / Land Use Modelling	The Netherlands
Zhang et al.	2004	Gradient analysis / Metropolitan area / Fragstats	Shanghai, China

Table 6: Publications about general sustainability issues for semi-urban or related areas.

Authors	Year	Keywords	Study Area
Alfsen-Norodom	2004	UNESCO / Biosphere / Sustainability	11 Cities around the world
Balocco et al.	2004	Exergy / Urban ecology / Sustainability	Siena, Italy
Bugliarello	2002	Ecological Footprinting / Biomass matrix / Sustainability	No specific study area
Clark	2003	Bruntland report / Sustainable city planning	No specific study area
Doughty et al.	2004	Ecological footprint analysis of city and urban area	Bath, U.K.,
Hümmeler	1998	Planning instruments / Suburban area	Leipzig, Germany
Jarosz	2008	Alternative food networks / Urbanization / Organic farming	Seattle, Skagit County, U.S.A.
McKinney	2002	Biodiversity / habitat dynamics / rural-urban gradient	London, U.K., Randstad, The Netherlands
Muñiz et al.	2005	Urban form / Ecological Footprinting	Barcelona, Spain
Nuissl et al.	2008	Environmental pressures / framework	Belgium, The Netherlands, Germany, U.K.
Pauchard et al.	2006	Effects / Urbanization	Concepción, Chile
Rees	1992	Ecological footprinting / socio-economical impacts	Fraser Valley, Canada
Yli-Viikari et al.	2007	Decision support / Agriculture / Indicators	Finland

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